



Topology of Bi_2Se_3 nanosheets

arXiv:2309.02792

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Utrecht University

Yearly QuMat meeting

Nijmegen, October 2023

Moes, Vliem *et al.* 2023,
Nano Letters (under review)

Consortium



Debye Institute for
Nanomaterials Science



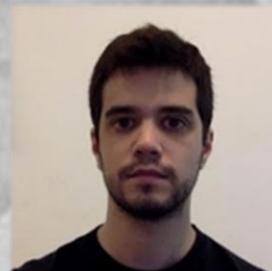
Institute for
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Mendes



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Henk Stoof

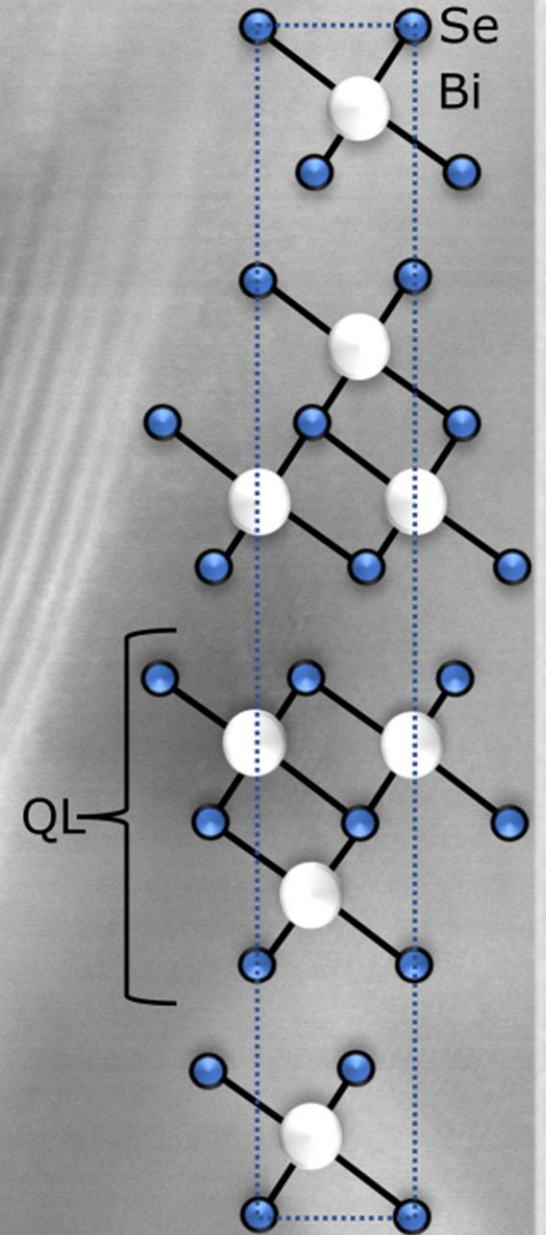
Institute for Electronics,
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The Bi_2Se_3 crystal

- Layered structure: quintuple layers (QLs)
- 1 QL: Se–Bi–Se–Bi–Se
- QLs held together by van der Waals forces

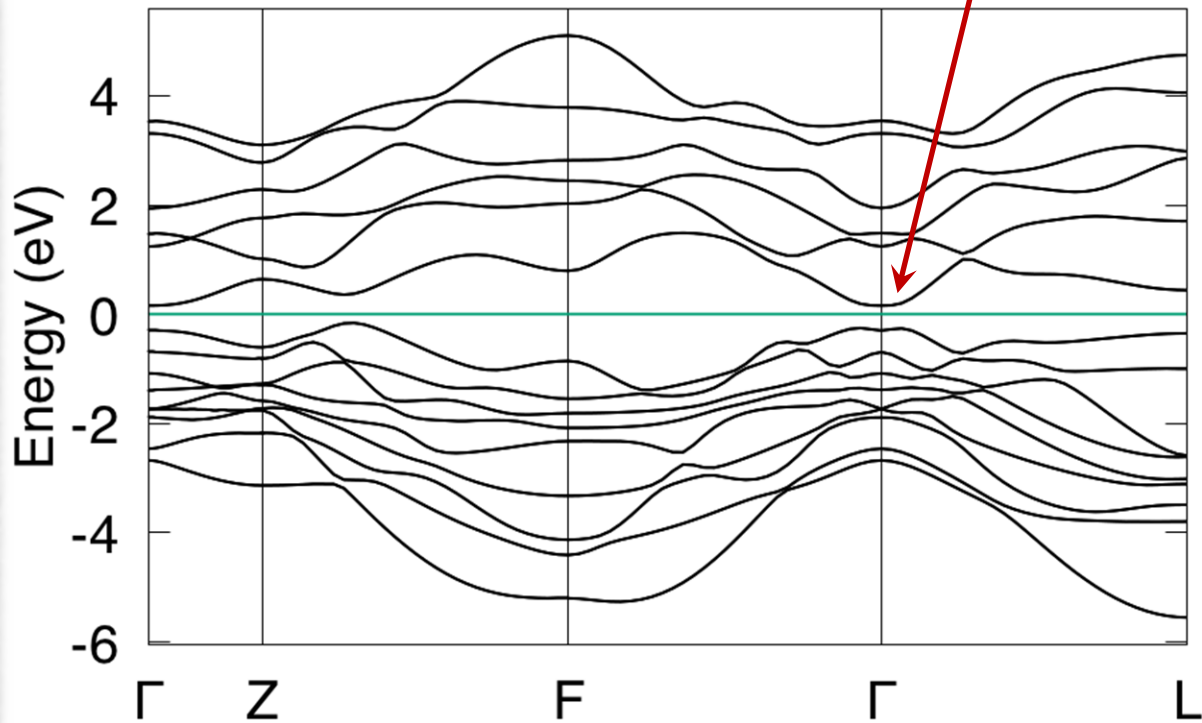
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5 nm



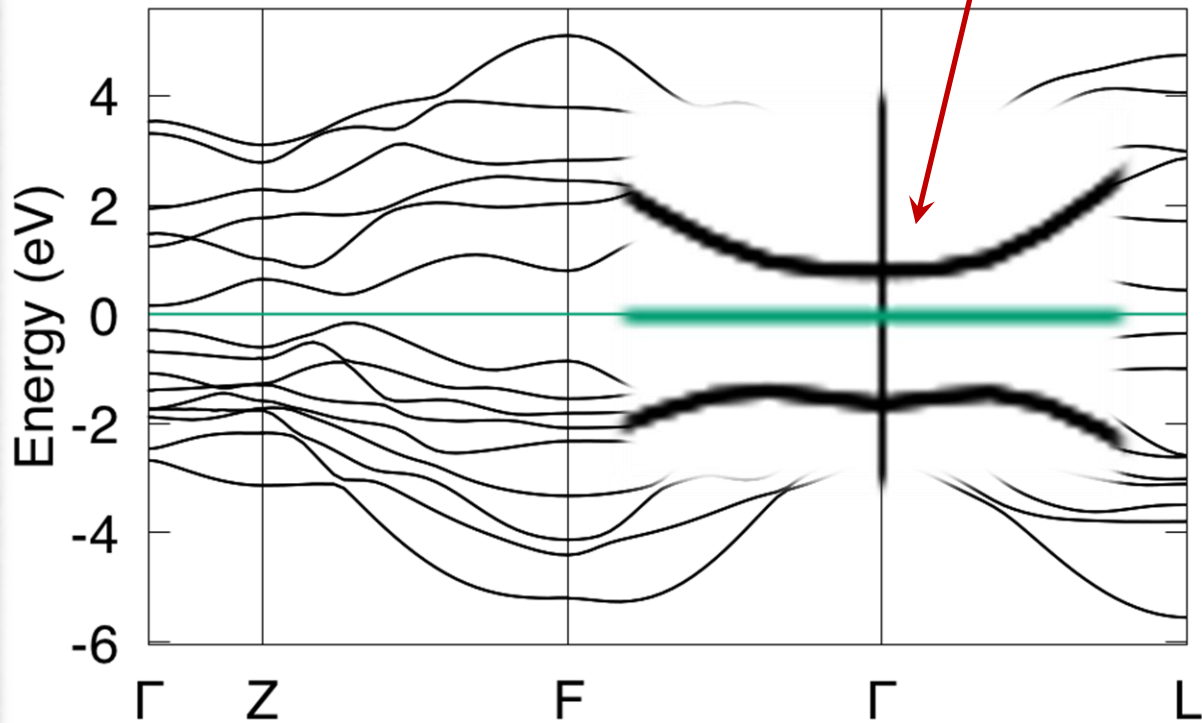
Bi_2Se_3 as a bulk topological insulator

Band inversion
around the Γ point



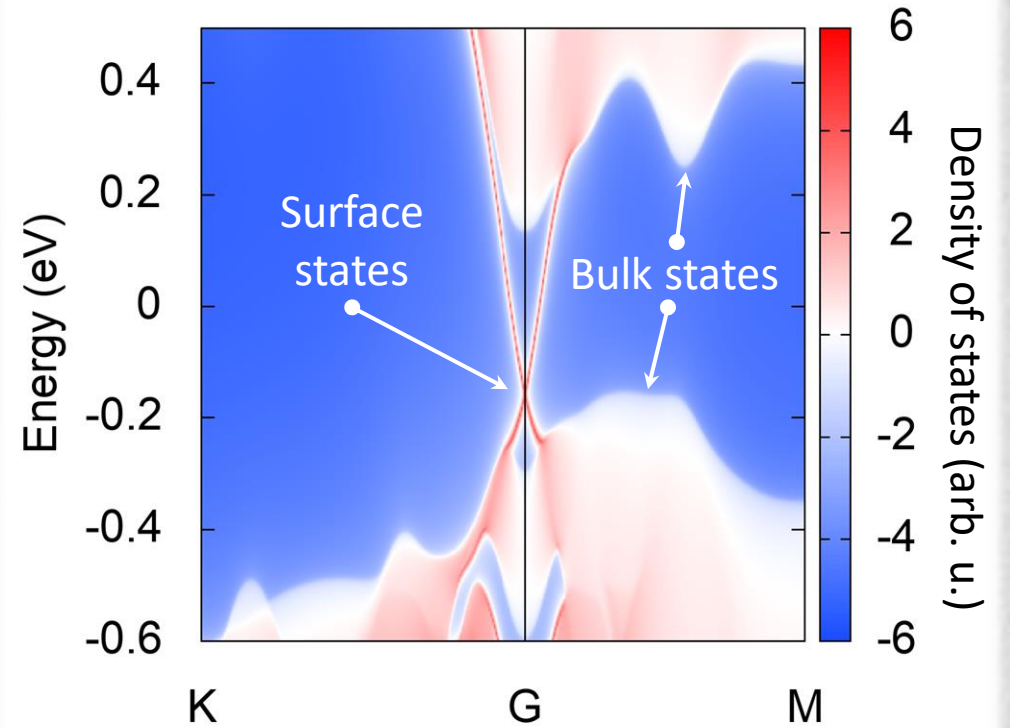
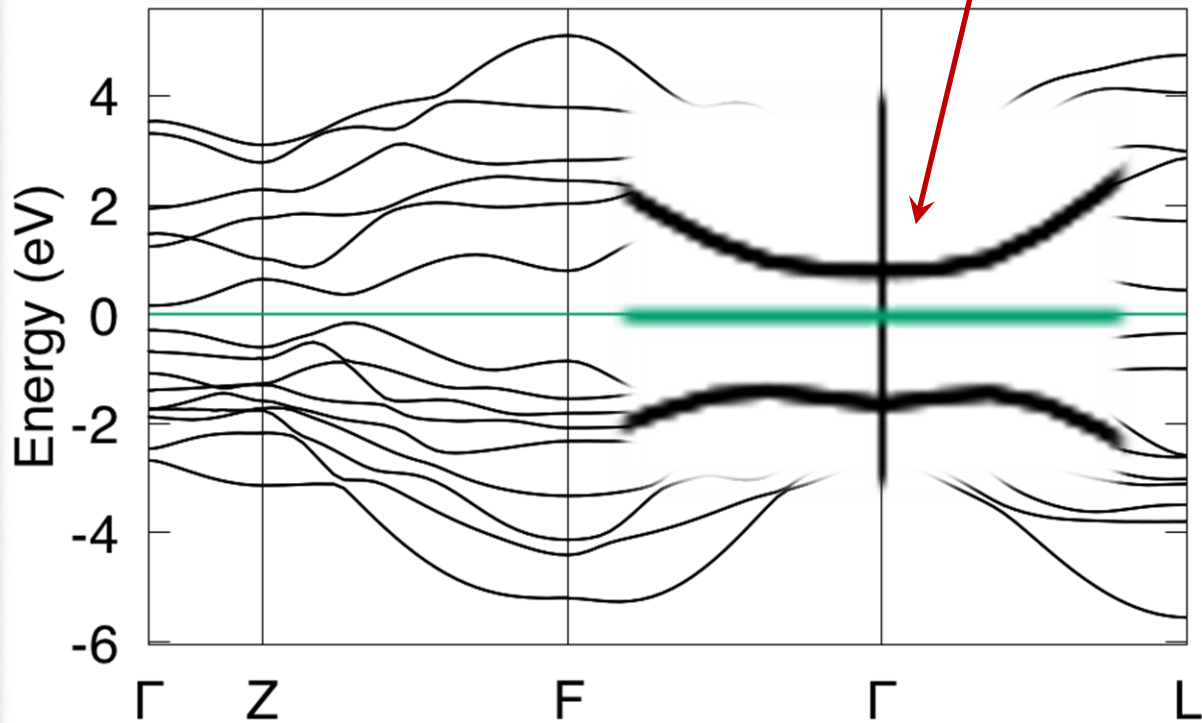
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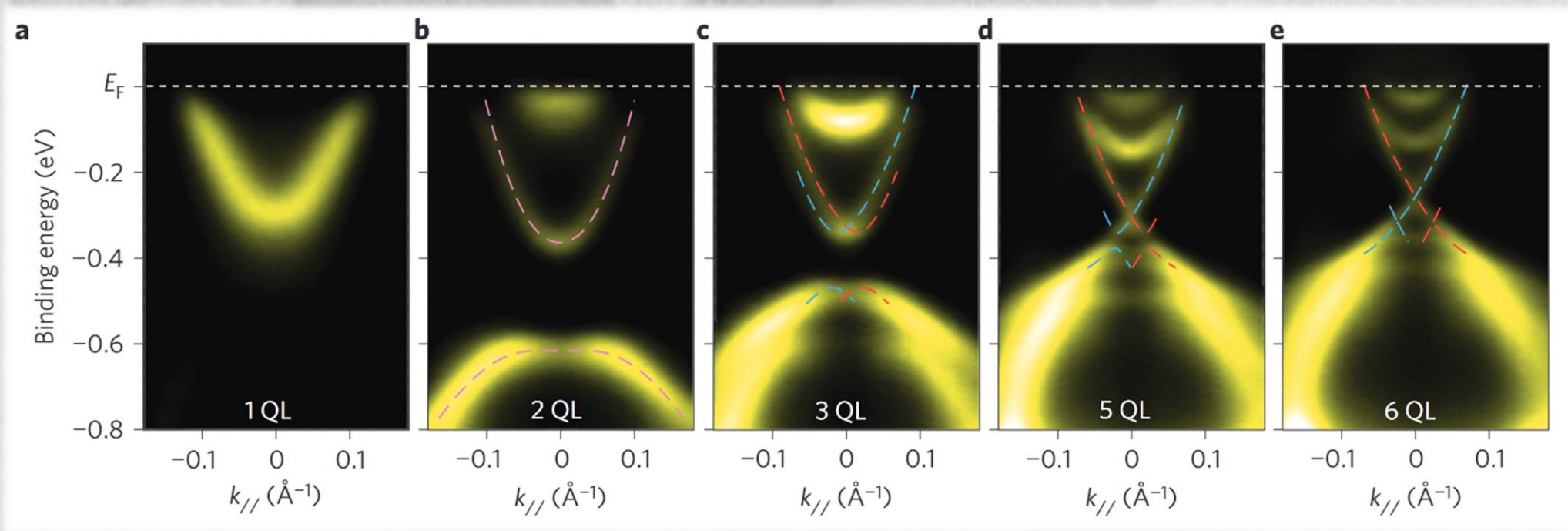
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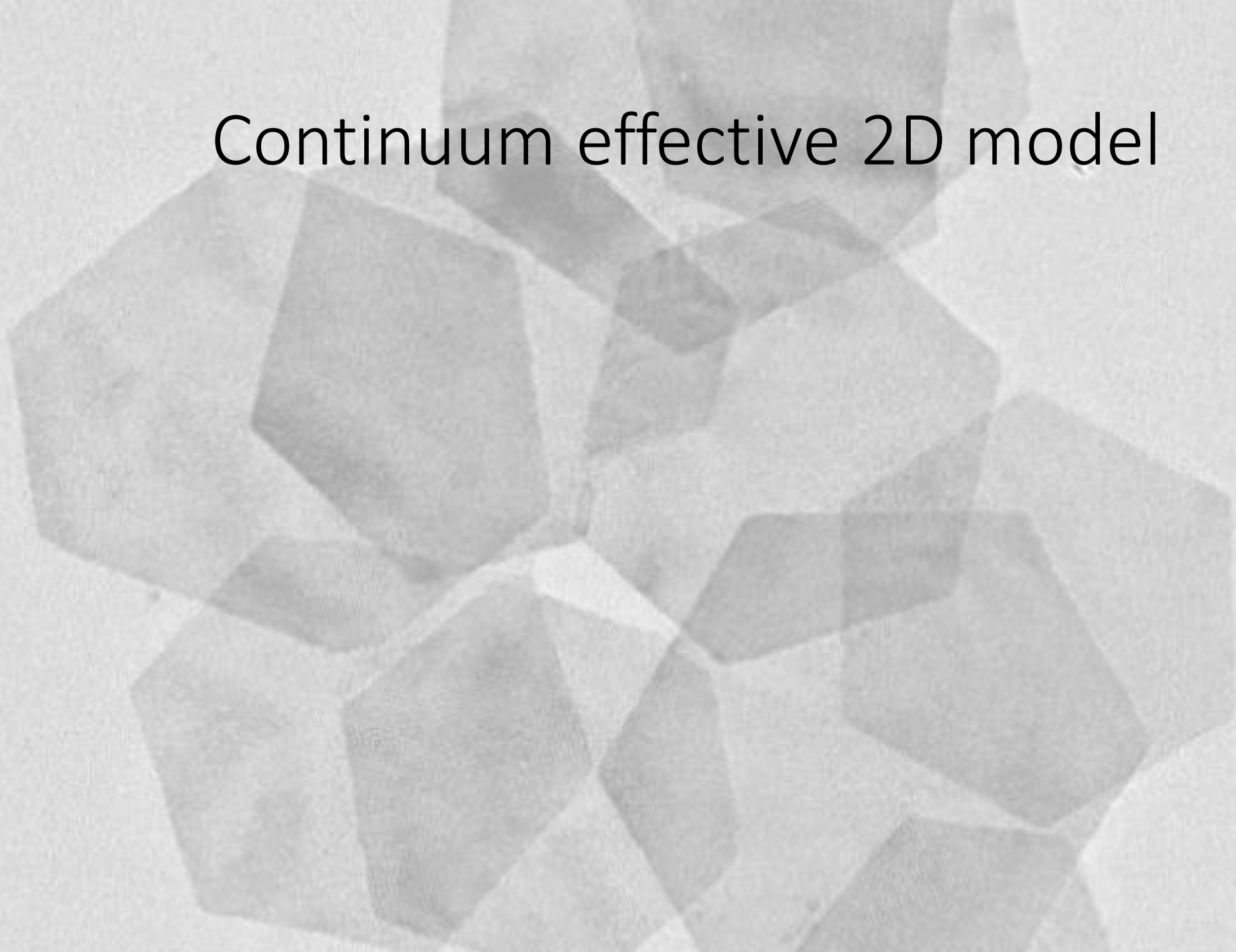


From 3D to 2D

- As the number of QLs decreases, the surface states become gapped
- Crossover to a (possible) quantum spin-Hall phase



Continuum effective 2D model



Continuum effective 2D model

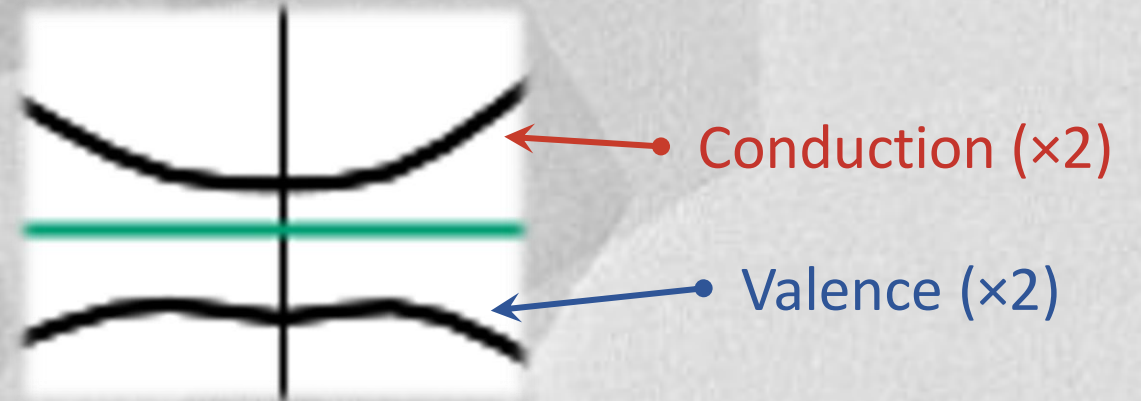
1. Start from *the* 3D $\mathbf{k} \cdot \mathbf{p}$ model around Γ

(Zhang et al., 2009)

$$H^{3D}(\mathbf{k}) = \epsilon_0(\mathbf{k})\mathbb{I}_{4 \times 4} + \begin{bmatrix} \mathcal{M}(\mathbf{k}) & A_1 k_z & 0 & A_2 k_- \\ A_1 k_z & -\mathcal{M}(\mathbf{k}) & A_2 k_- & 0 \\ 0 & A_2 k_+ & \mathcal{M}(\mathbf{k}) & -A_1 k_z \\ A_2 k_+ & 0 & -A_1 k_z & -\mathcal{M}(\mathbf{k}) \end{bmatrix}$$

$$\epsilon_0(\mathbf{k}) = C + D_1 k_z^2 + D_2 (k_x^2 + k_y^2)$$

$$\mathcal{M}(\mathbf{k}) = M - B_1 k_z^2 - B_2 (k_x^2 + k_y^2)$$



Continuum effective 2D model

1. Start from *the* 3D $\mathbf{k} \cdot \mathbf{p}$ model around Γ (Zhang et al., 2009)
2. Solve it in a slab geometry (finite L_z) for $k_x = k_y = 0$

$$H^{3D}(\mathbf{0}, \mathbf{0}, -i\partial_z)\Psi_n(z) = E_n\Psi_n(z), \quad \Psi_n(\pm L_z/2) = 0$$

Continuum effective 2D model

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2. Solve it in a slab geometry (finite L_z) for $k_x = k_y = 0$
3. Obtain an **effective 2D model** by projecting on the full Hamiltonian

$$H_{nm}^{2D}(k_x, k_y) = \langle \Psi_n | H^{3D}(k_x, k_y, -i\partial_z) | \Psi_m \rangle$$

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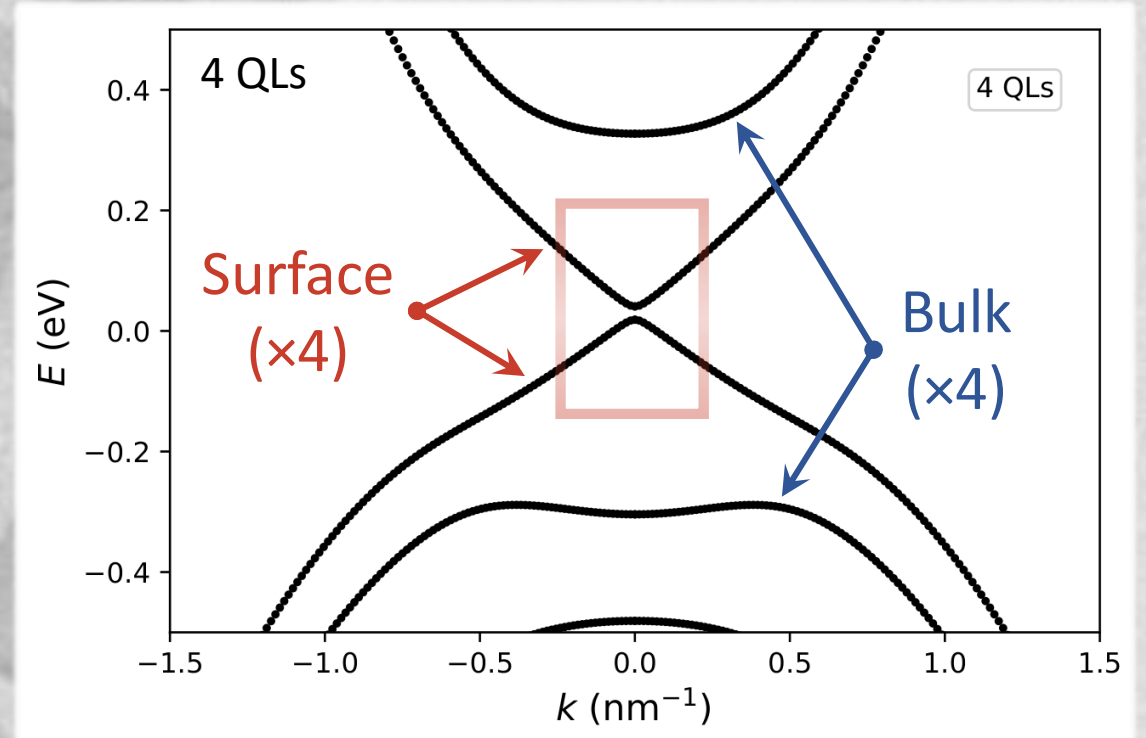
Key point: restrict to a **finite subspace!**

“Traditional” 4-band model



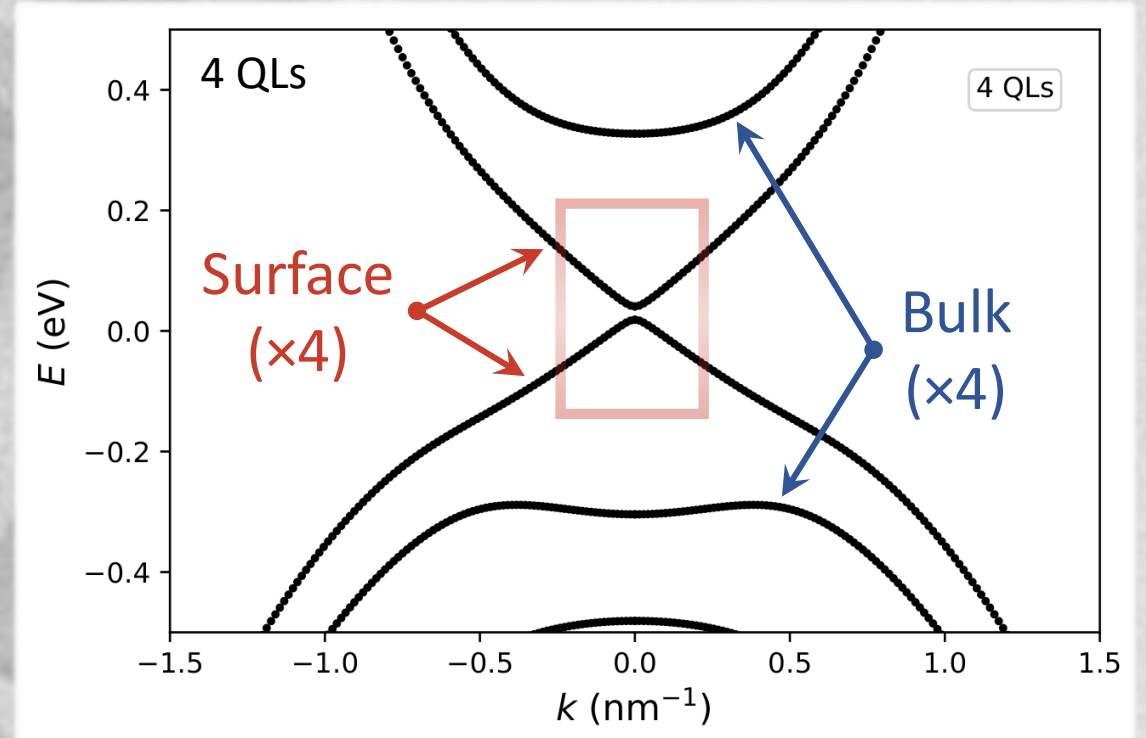
“Traditional” 4-band model

- Describes only the surface states



“Traditional” 4-band model

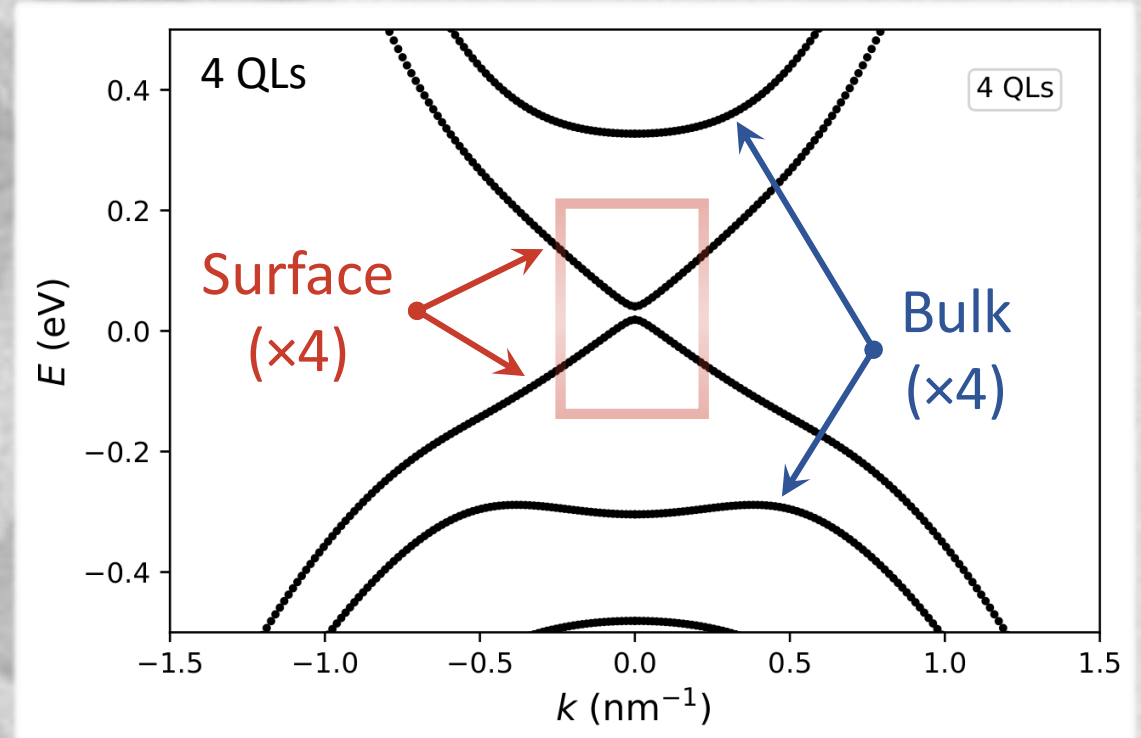
- Describes only the surface states
- **Problem:** does not always explain experiments unless we manually readjust parameters



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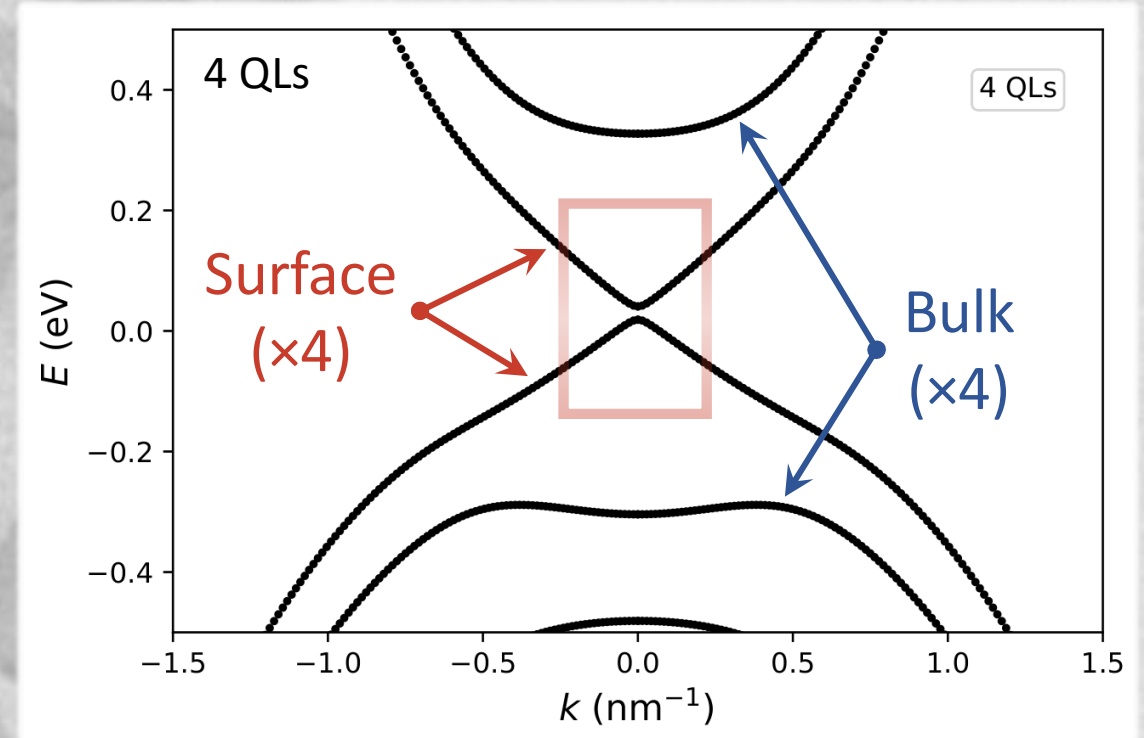
Number of QLs	$k \cdot p$ theory	Experiments, DFT
1	Trivial	Trivial
2	Trivial	Trivial
3	QSH	QSH
4	Trivial	QSH
5	Trivial	QSH
6	QSH	(Unclear)



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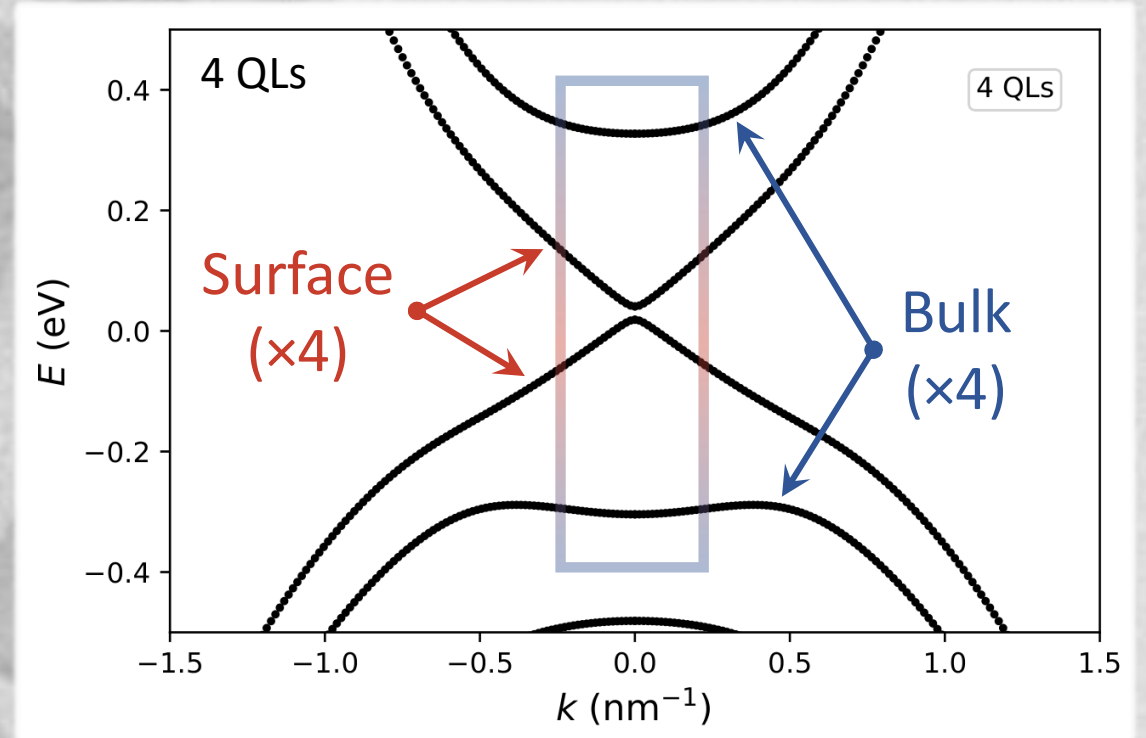
● → Can we do better?

New 8-band model



New 8-band model

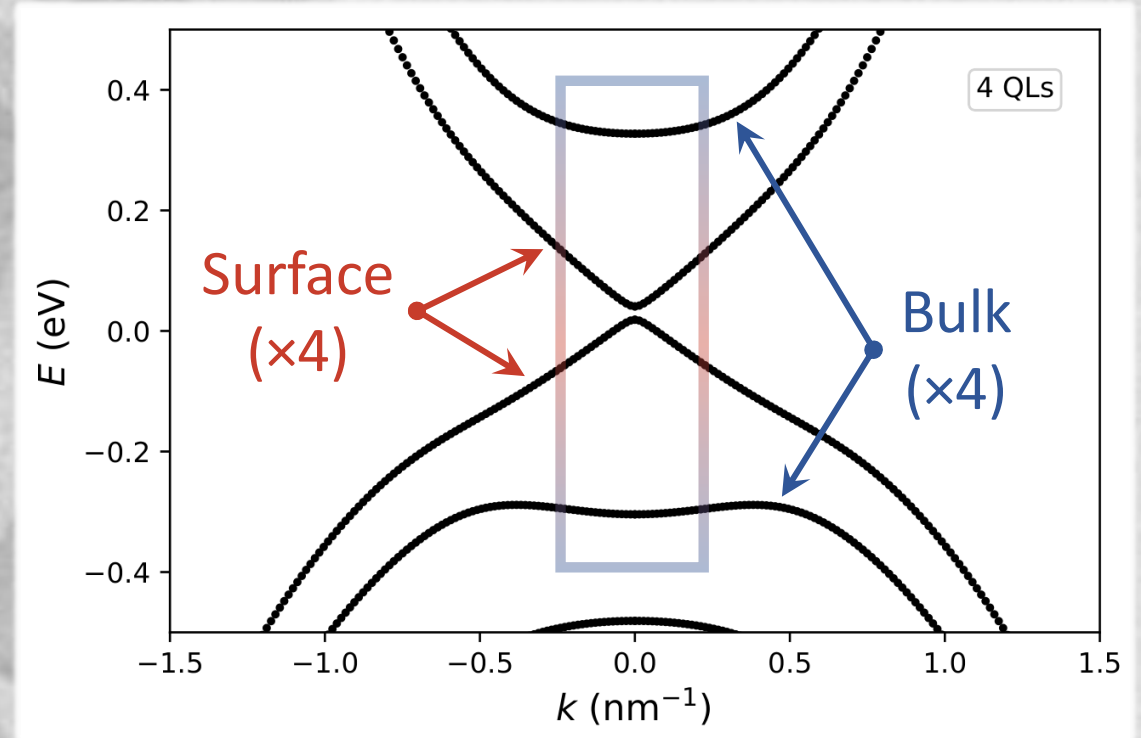
- Describes the surface states and the first set of bulk states together



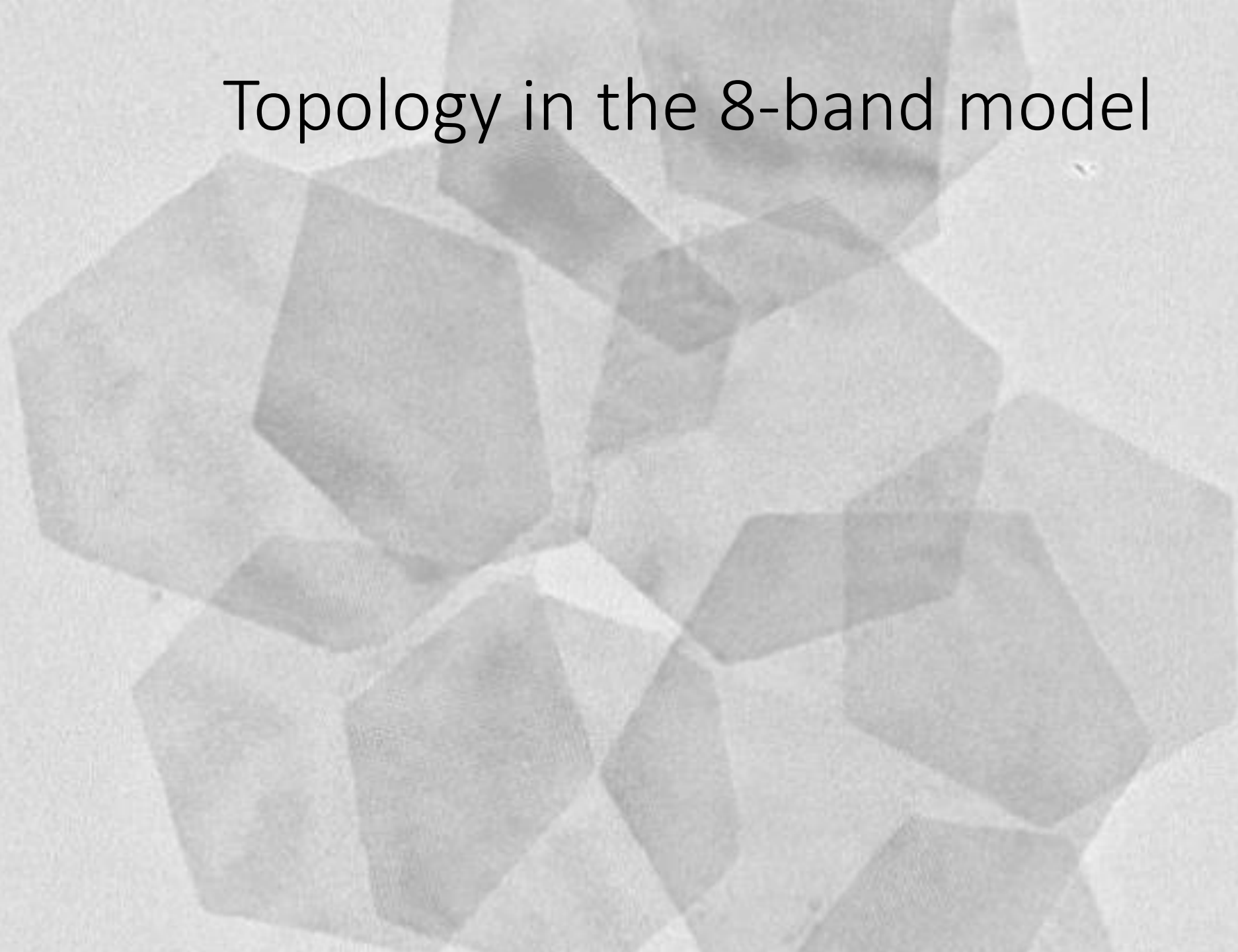
New 8-band model

- Describes the surface states and the first set of bulk states together
- Topology **agrees** with experiments and DFT!

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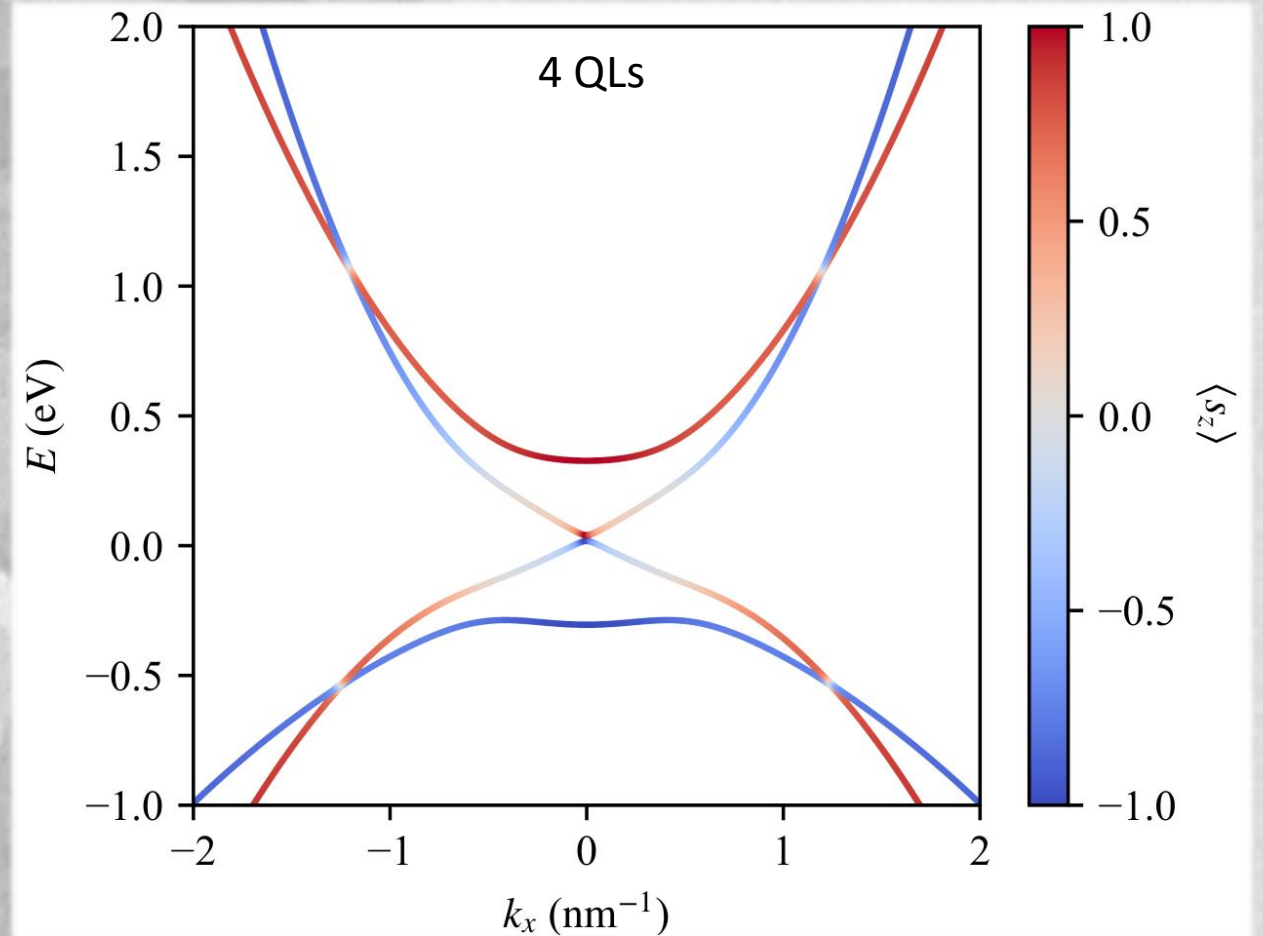


Topology in the 8-band model



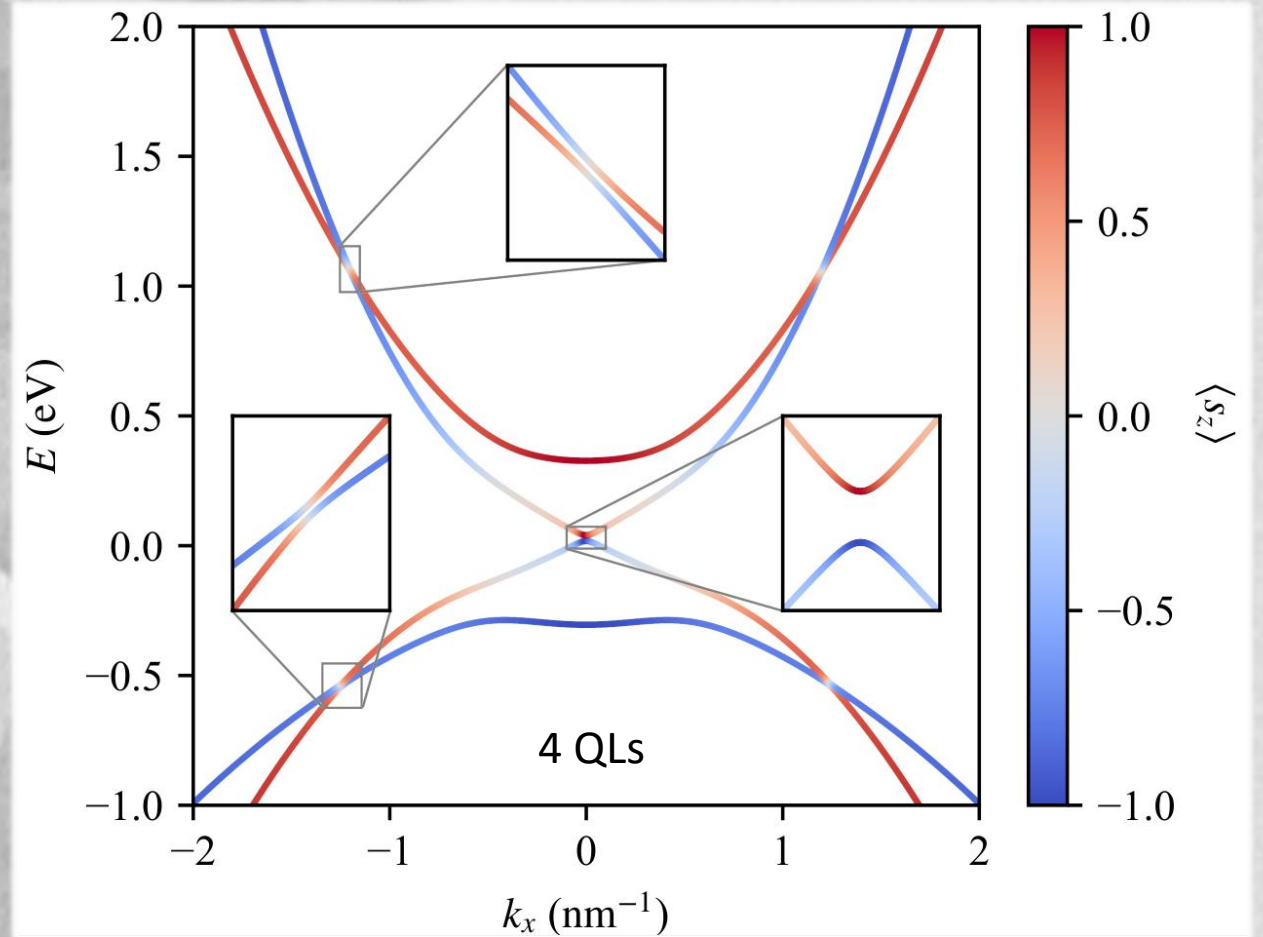
Topology in the 8-band model

- Can be understood by tracking the direction of the **spin** as a function of the momentum



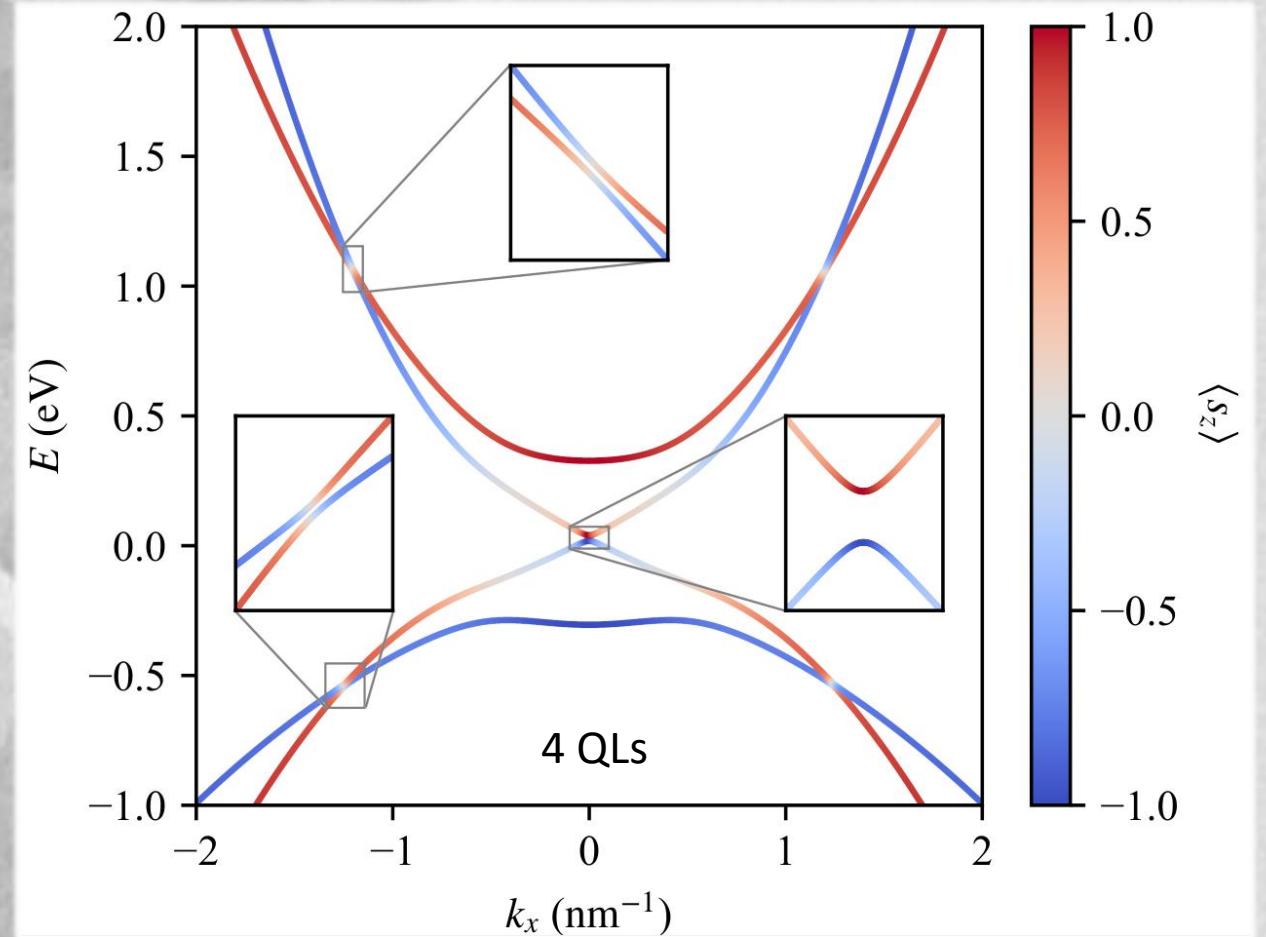
Topology in the 8-band model

- Can be understood by tracking the direction of the spin as a function of the momentum
- Arises from an **interplay** between surface and bulk



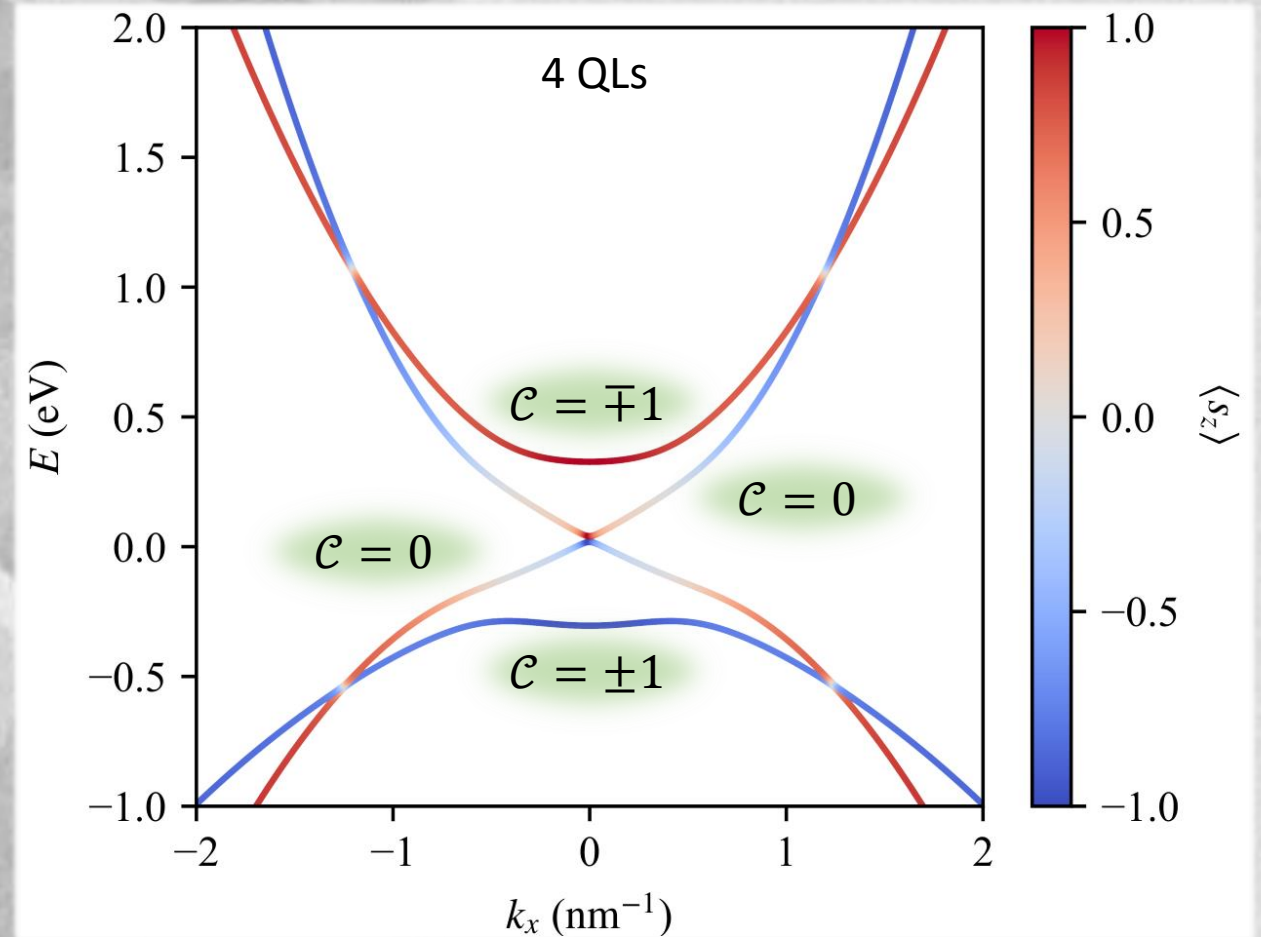
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- Can be understood by tracking the direction of the spin as a function of the momentum
- Arises from an interplay between surface and bulk
- Band inversion around the Γ point, but also at the **avoided crossings**

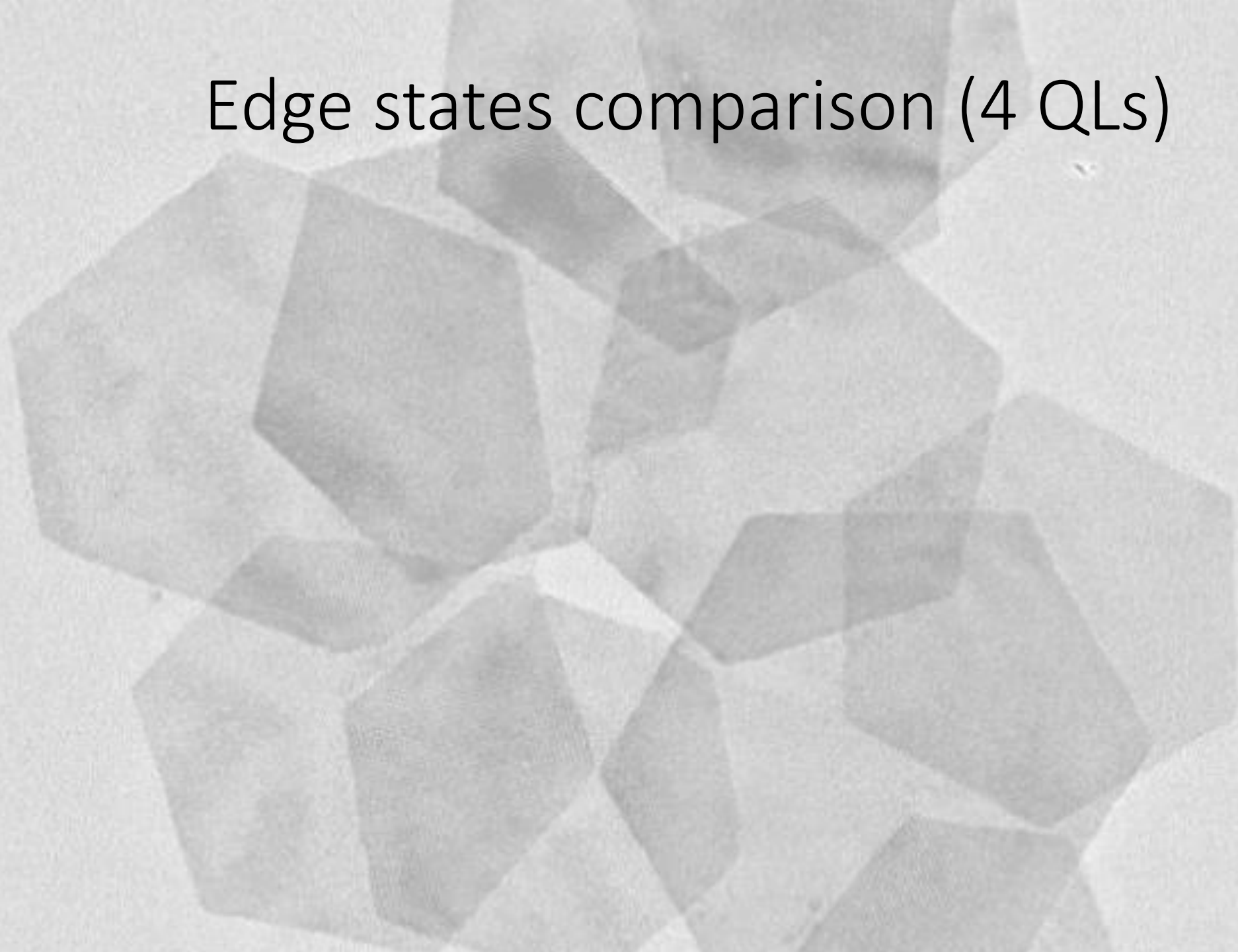


Topology in the 8-band model

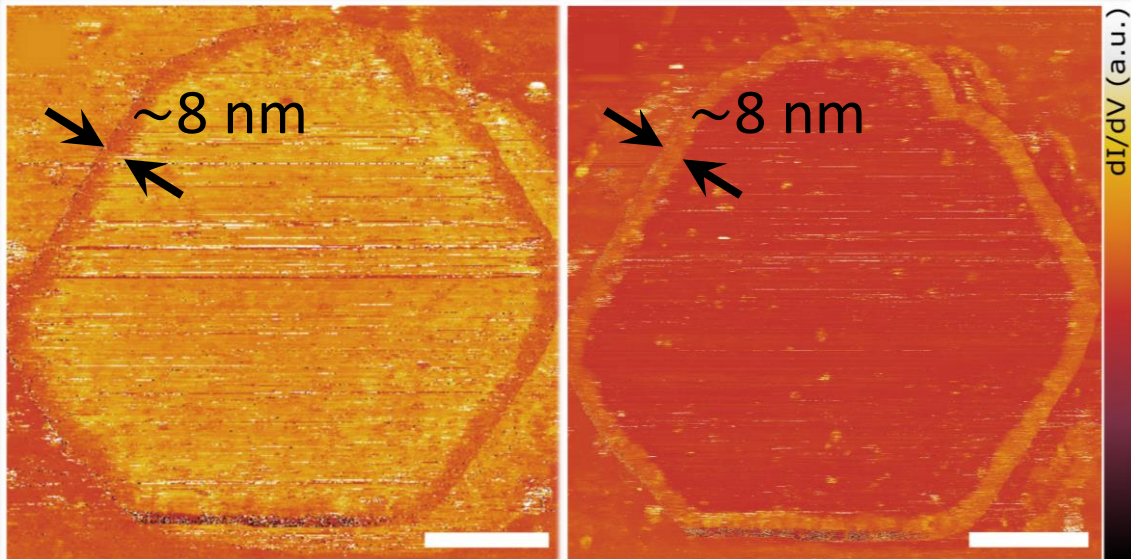
- Can be understood by tracking the direction of the spin as a function of the momentum
- Arises from an interplay between surface and bulk
- Band inversion around the Γ point, but also at the avoided crossings
- Surface bands are **trivial**, bulk bands are **topological**



Edge states comparison (4 QLs)

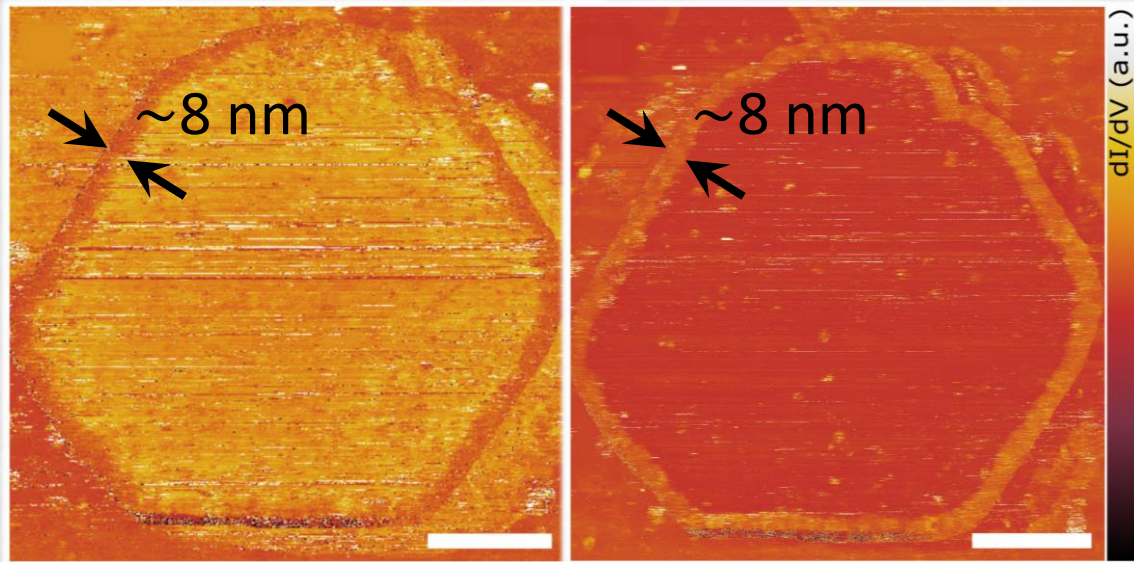


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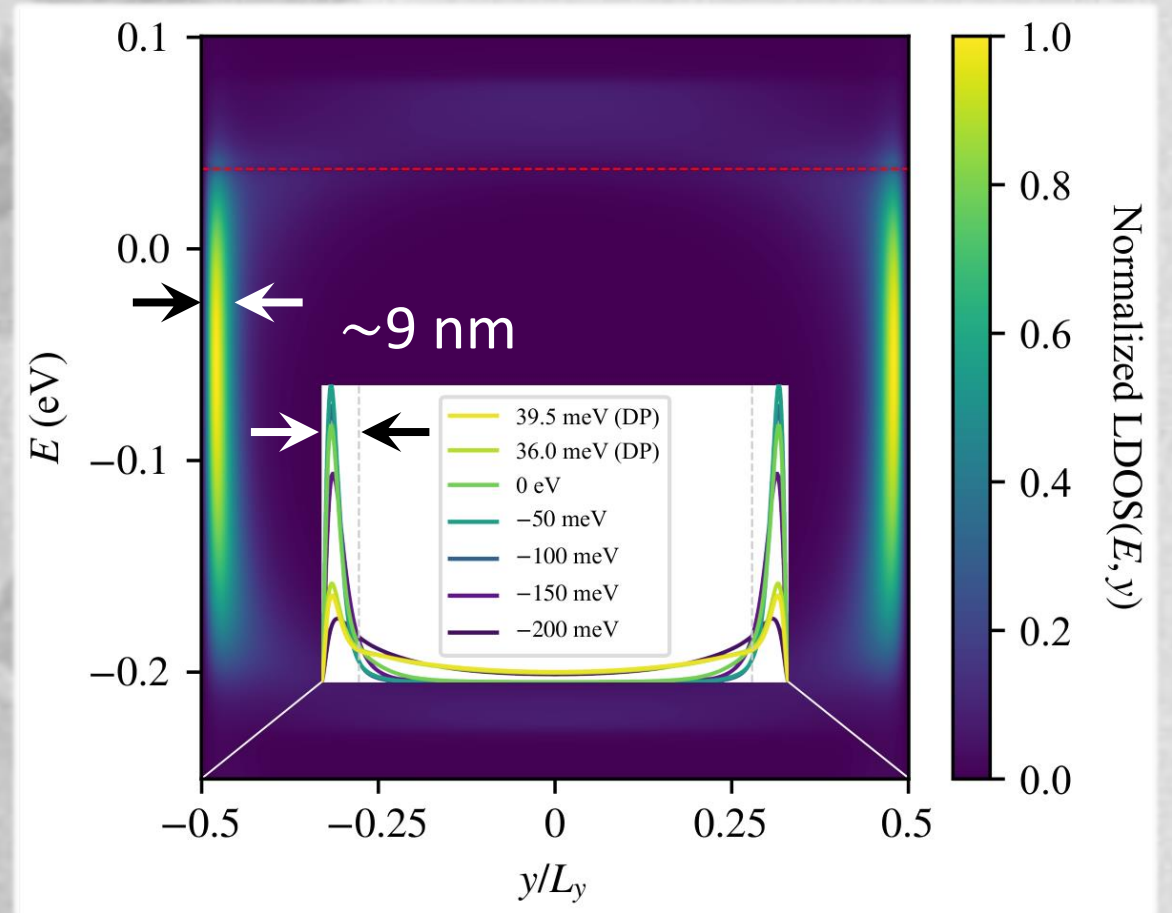


Moes, Vliem *et al.* 2023,
Nano Letters (under review)

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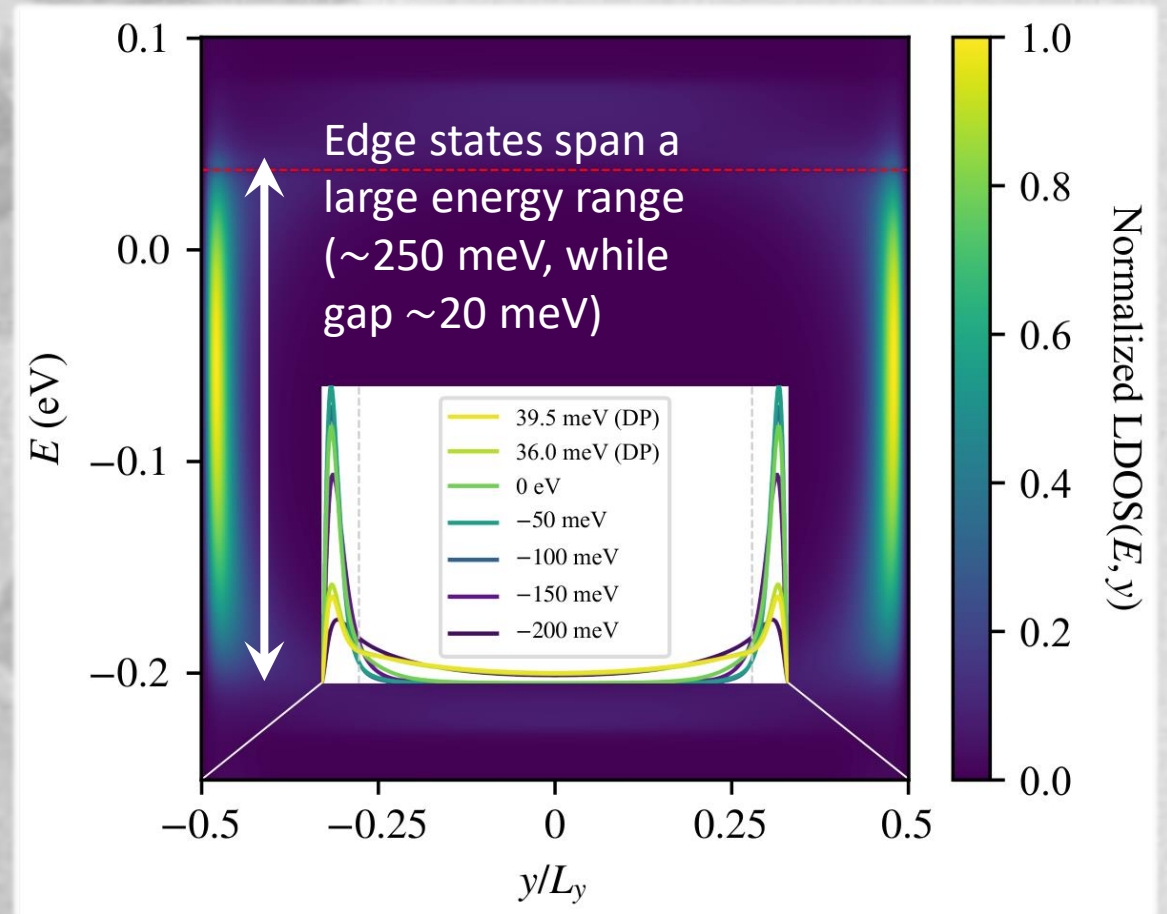


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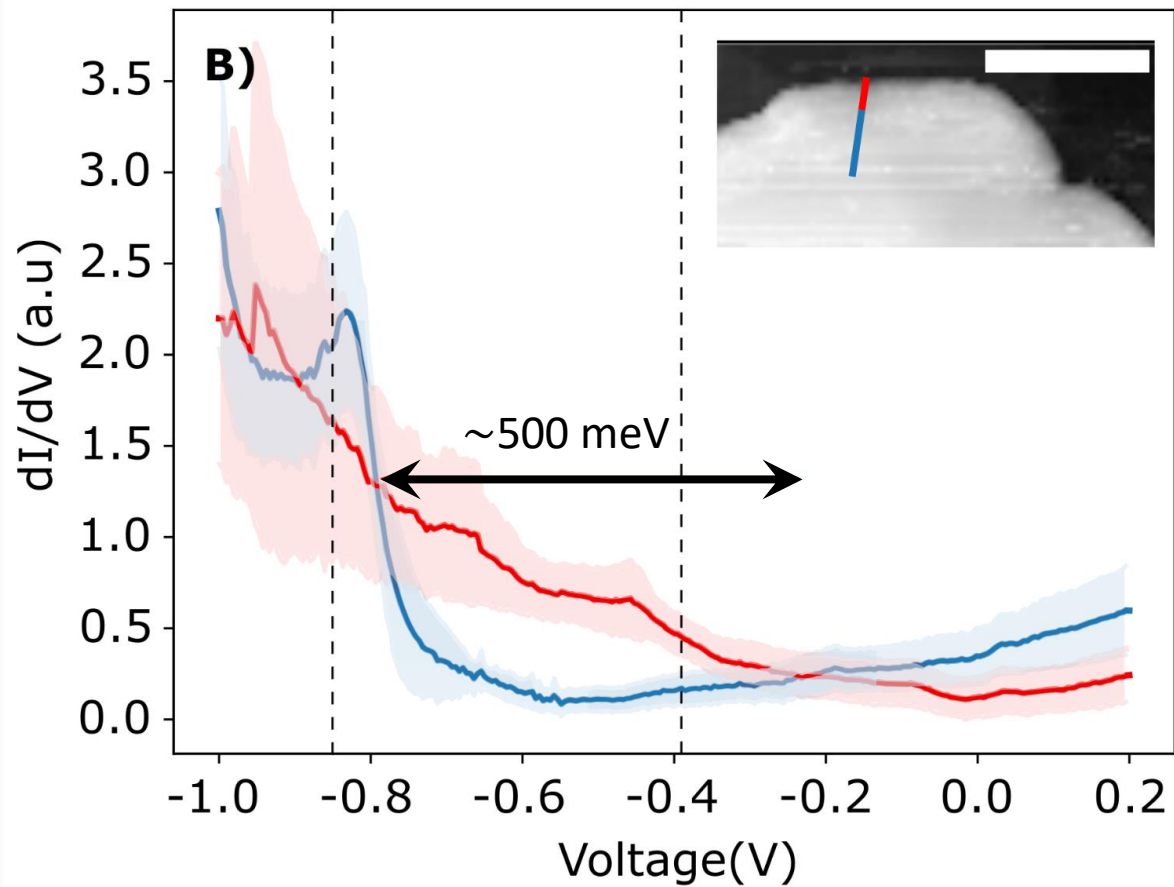


Maisel Licerán *et al.*,
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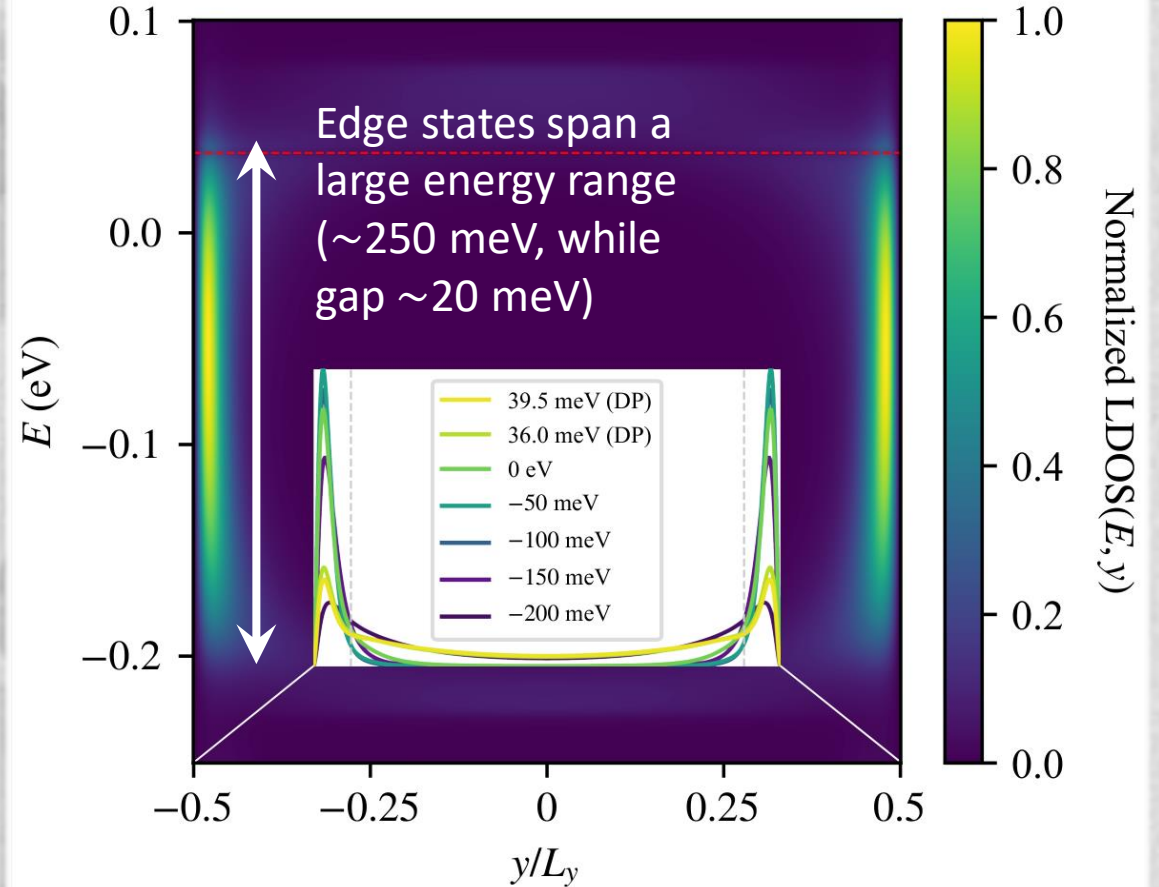
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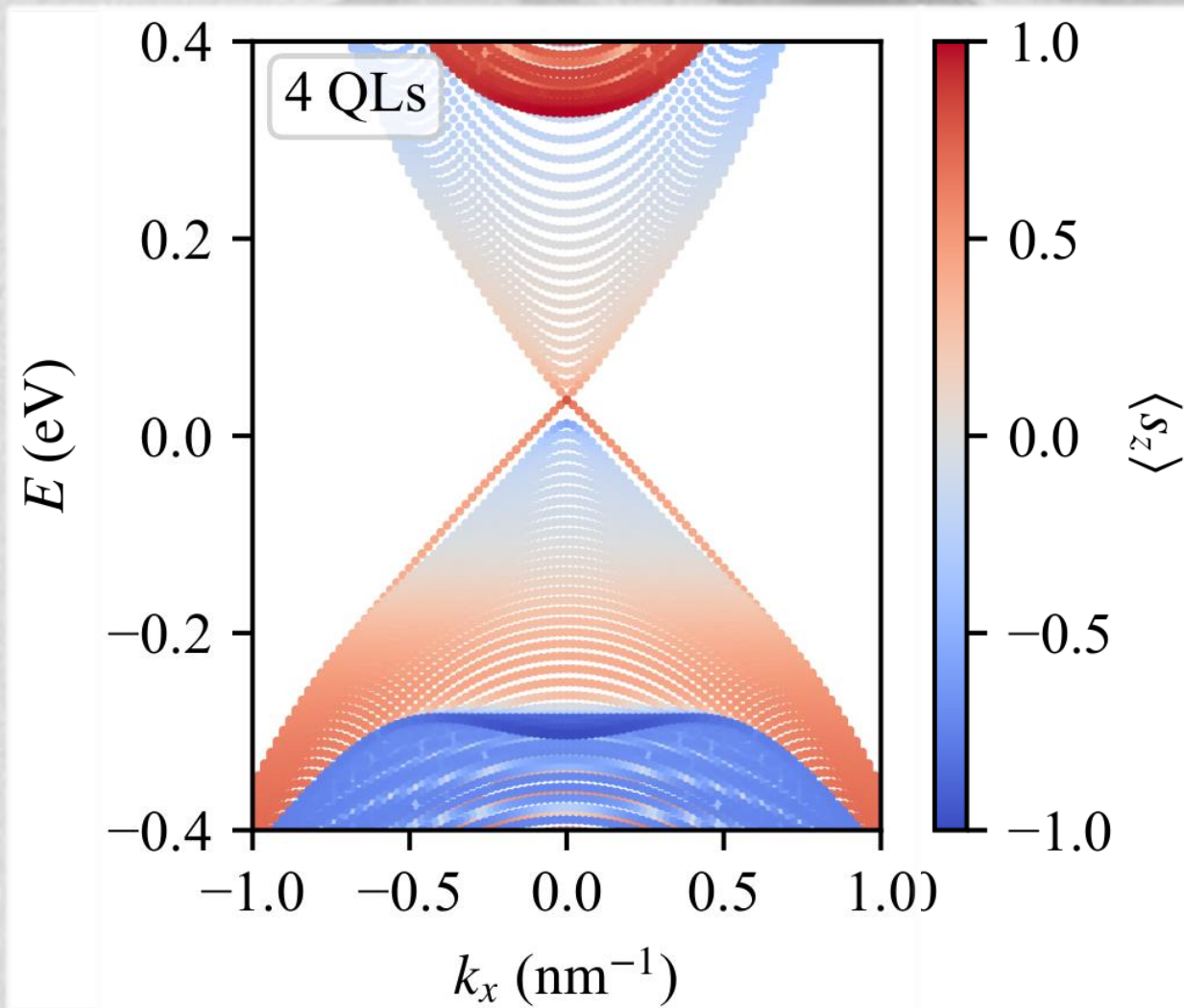


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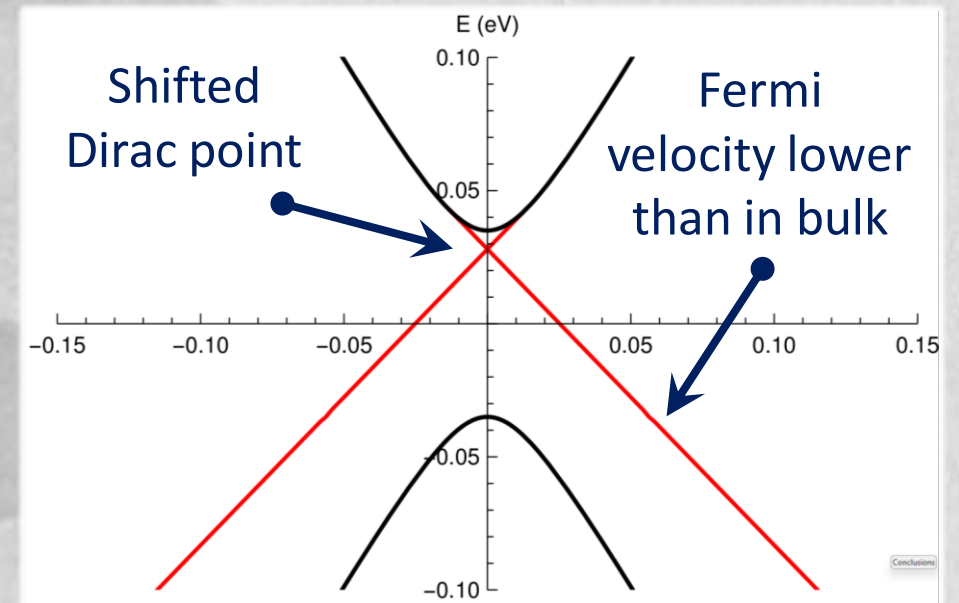
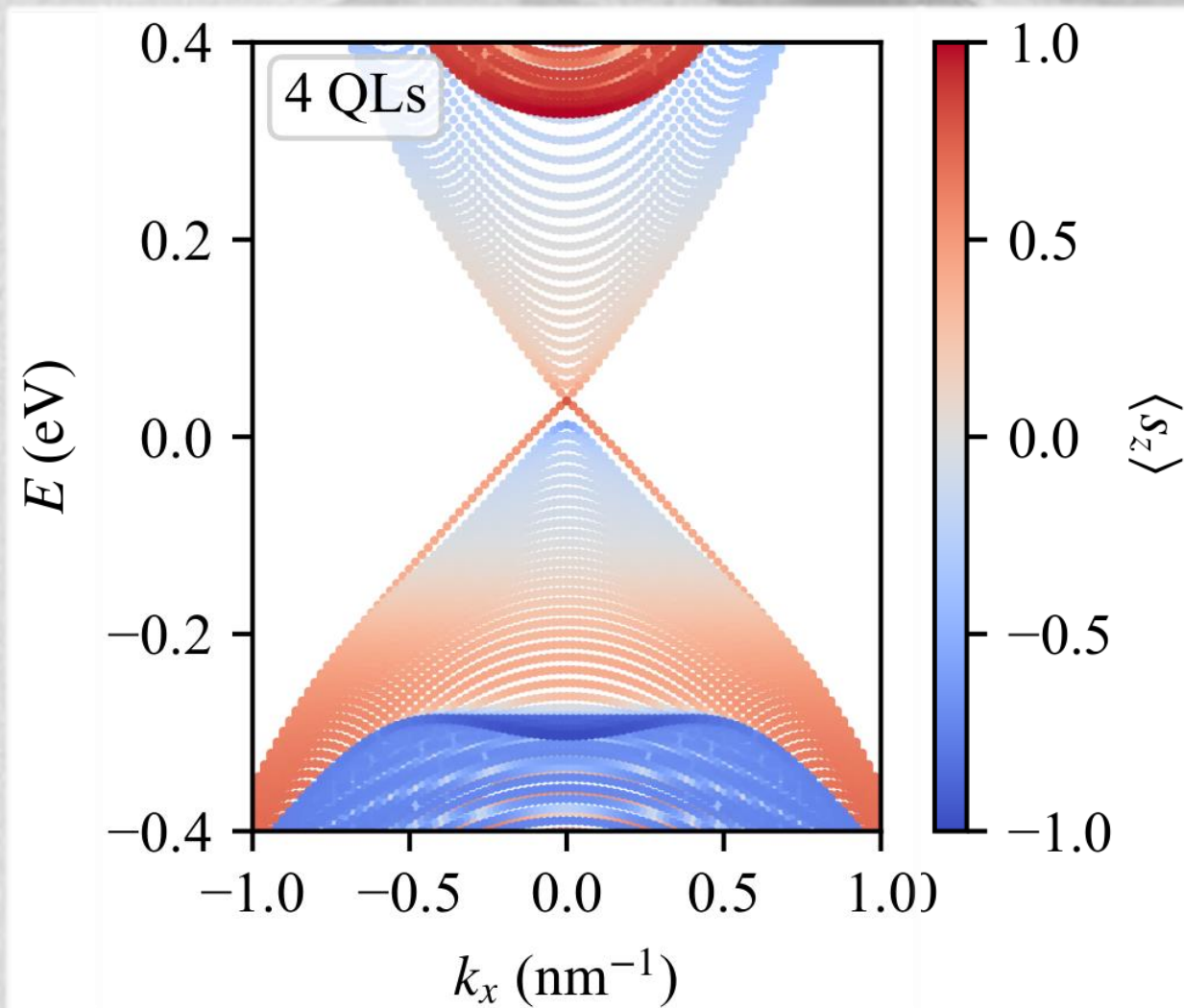
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Conclusions

The background of the slide is a light gray color with a subtle, repeating pattern of overlapping, semi-transparent polygons in various shades of gray. The polygons are irregular and layered, creating a complex, geometric texture that is centered on the page.

Conclusions

- **4-band model insufficient** unless we manually readjust parameters (starting 3D model does not always give a reasonable 2D model)

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Conclusions

- 4-band model insufficient unless we manually readjust parameters (starting 3D model does not always give a reasonable 2D model)
- 8-band model explains findings without any readjustments (2D theory obtained directly from 3D theory)
- 2D topology arises from an interplay between surface and bulk
- Large energy range possibly due to **shifting of the Dirac point** in combination with a **change in Fermi velocity**



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